



BROKER WEBINAR CAMPAIGN 2021 ("CAMPAIGN") TERMS AND CONDITIONS

- 1) **Objective:** The objective of this Campaign is to increase the participation of retail investors in the market and to incentivise new entrants into the market who meet the requirements set out in these Campaign Terms and Conditions. Participating Organisations (POs) who are interested to participate in the Campaign shall facilitate the administration of the Campaign in accordance with these Campaign Terms and Conditions.
- 2) **Campaign Period:** The Campaign shall run from 11th November 2021 to 31st January 2022 (both dates inclusive) ("Campaign Period").
- 3) **Campaign Mechanics:** There are two parts to this Campaign as below:

(i) **Webinar Series**

Bursa will organise a series of webinars to promote share investment to Malaysian audiences. Participating Organisations (POs) will be allocated one (1) webinar each, to present a topic of their choice and shall meet the following requirements in relation to the webinars:

- Each webinar must include a 3 to 5 minute allocation for Bursa Malaysia to promote a Bursa Marketplace feature, tool or product.
- The webinar topics must on or relating to investing in the Malaysian market
- Webinars must be kept within a 45 minute time-frame, followed by a 15 min Q&A session
- Webinars must be conducted in either English, Bahasa Malaysia or Chinese language
- Speakers must be licensed with the Securities Commission of Malaysia
- Participants of the webinars are required to register for the webinar(s) and will not be charged for attendance or non-attendance.

POs will be provided with the available dates and times of the webinars and POs may select their preferred date and time for their webinar session.

In the event of withdrawal of or change in a speaker for the PO's webinar session, the POs must inform Bursa Malaysia of such withdrawal or change no later than five (5) working days prior to the webinar date to ensure registered participants can be informed in a timely manner of the changes. If there is a withdrawal of a speaker, the PO shall replace such speaker with a speaker of equivalent qualifications and experience.

In the event a PO cancels its webinar session due to unavoidable circumstances, the PO shall together with Bursa Malaysia, notify the participants of such cancellation and the PO shall mitigate any losses/expenses that may be incurred as a result of such cancellation.

POs are encouraged to promote their respective webinar sessions via their respective communication channels.

(ii) **Investor Incentive**

The Investor Incentive is an incentive scheme for new retail individual investors (both Direct and Nominee CDS accounts) (Collectively known as "Investors")

Cash rewards for women Investors

Bursa Malaysia will provide cash rewards to women Investors who open a CDS account during the Campaign Period. Each PO may reward up to 100 women Investors who meet the following requirements:

Cash Reward	Requirements
RM50 cash voucher	<p>be the first 100 women Investors to:</p> <p>(a) open a CDS account and trading account with a participating PO within the Campaign Period*; and</p> <p>(b) perform a minimum of one (1) trade within the Campaign Period.</p> <p>*In the event two or more women Investors' accounts are opened on the same day, and trades were performed on the same day, the PO shall determine the "first" women Investor by the time that the first trade is performed.</p>

The following are scenarios for determination of the women Investors who are eligible for the cash reward:

Investor A

Account application: November 11th

CDS & Trading Account Opened: November 18th

First Trade: December 20th

Investor B

Account application: November 4th

CDS & Trading Account Opened: November 11th

First Trade: November 30th

Investor C

Account application: November 11th

CDS & Trading Account Opened: November 18th

First Trade: February 2nd, 2022

Investors A and B would qualify for the RM50 cash voucher as both of them have opened a CDS account and trading account and performed trade(s) within the Campaign Period. However, as Investor B traded earlier than Investor A, Investor B would be deemed as "first".

Investor C would not qualify for the RM50 cash voucher as Investor C's first trade was performed outside the Campaign Period.

POs are required to provide Bursa Malaysia with an update on the number of CDS accounts and trading accounts opened by women Investors, at the end of each month during the Campaign Period. Bursa Malaysia will credit the cash rewards for the eligible women Investors into the account of the PO. POs are responsible for notifying the women Investors who are eligible to receive the cash reward and to credit the cash reward into the eligible women Investors' trust account at the end of the Campaign Period. POs are encouraged to feature an announcement of eligible Investors through a channel of their choice.



PO's promotional initiatives or rewards during Campaign Period

In addition to the cash rewards provided by Bursa Malaysia to women Investors during the Campaign Period, POs are also required to carry out their own promotional initiatives (e.g. CDS account opening fee waiver, discount on brokerage fees, cash vouchers for trading account activation, etc.) during the Campaign Period to encourage retail participation in the market. The type of promotional initiative and mechanics are at the POs' discretion. POs are solely responsible for the planning and execution of their own promotional initiatives and are encouraged to carry out their promotional initiative for a minimum period of 2 months.

Bursa Malaysia will assist in featuring the POs' promotional initiative on the Campaign microsite and may, at its discretion, promote the PO's promotional initiative via other channels.

- 4) **Personal Data Protection Act 2010:** POs shall ensure that the collection and processing of any personal data of individuals (as defined in the Personal Data Protection Act 2010 ("PDPA"), obtained pursuant to participating in the Campaign, shall comply with the relevant provisions of the PDPA. POs shall ensure that the relevant notices have been provided and consent obtained to share the personal data with Bursa Malaysia and for Bursa Malaysia to use the aforementioned for purposes of the Campaign which may include the posting of the names of participants of the Campaign and their activities in relation to the Campaign on Bursa Malaysia's website(s).
- 5) **General:** POs agree to waive any right to claim any ambiguity or error in the Campaign and these terms and conditions and agree to be bound by these terms and conditions and by all decisions of Bursa Malaysia, which are binding and final.

Bursa Malaysia reserves the right to end, amend or extend the Campaign at any time or vary, delete or add to any of the terms and conditions herein, without prior notice. For the avoidance of doubt, the aforementioned shall not entitle the POs to any claim or compensation against the Bursa Malaysia group of companies for any or all losses or damages suffered or incurred by them whether as a direct or indirect result of the act of cancellation, termination, suspension or discontinuation with the Campaign or changes in the terms and conditions.

- 6) **Governing Law:** These terms and conditions shall be governed by, and construed in accordance with, the laws of Malaysia, without regard to conflict of laws provisions. All POs agree to submit to the exclusive jurisdiction of the courts of Malaysia.

- 7) **Disclaimer:**

Bursa Malaysia assumes no liability for any injury or damages caused or claimed to be caused by participation in the Campaign and/or the acceptance and/or use of any incentive. The POs shall and the POs shall ensure that participants of the webinar and Investors release Bursa Malaysia and their respective officers, directors, and employees from any such liability.

To the fullest extent permissible under applicable law, Bursa Malaysia expressly disclaims all warranties or conditions of any kind, express, implied or statutory, including without limitation, the implied warranties or conditions of merchantability and fitness for a particular purpose in relation to the Campaign. Bursa Malaysia does not warrant, guarantee or make any representation regarding the Campaign in terms of its correctness, accuracy, reliability, currency or otherwise. In no event shall Bursa Malaysia, its subsidiaries, officers, directors, employees or agents be held liable to a PO and/or any third party for any direct, consequential, incidental, special, reliance, punitive or indirect damages, howsoever caused (including negligence or personal injury), or any damages whatsoever (including, without limitation, damages for injury to person or property, for loss of profits, loss of privacy, failure to meet any duty and negligence arising out of or in any way related to the Campaign, on any theory of liability, without limitation, even if Bursa Malaysia had been advised of the possibility of such damages.