

GENERAL STATEMENT AND COMMITMENT TO ERADICATE BRIBERY AND CORRUPTION

Affin Hwang Investment Bank Berhad (“the Bank”) is licensed and regulated by the Central Bank of Malaysia (“BNM”), the Securities Commission of Malaysia (“SC”) and Bursa Malaysia Securities Berhad (“BSMB”). With the coming into force of Section 17A of the MACC Act, the Bank’s Anti-Bribery and Corruption Framework (“the Framework”) is the anti-bribery management system to implement policies and processes to prevent the occurrence of corrupt practices in all aspects of the Bank’s business interests. The Bank is further committed to implement the broader and more general expectation under the Prime Minister’s Directive 1/2018 to have a unit within the Bank’s corporate infrastructure for the oversight of overall good governance, anti-corruption and integrity.

The Bank adopts a zero tolerance policy against all forms of bribery and corruption. The Framework sets out its core policies and processes and embodied the T.R.U.S.T principles:

- T - Top Level Commitment
- R - Risk Assessment
- U - Undertake Control Measures
- S - Systematic Review, Monitoring and Enforcement
- T - Training and Communication

and how these principles will be achieved.

The Bank strictly forbids acts of bribery and corruption in any form and fashion, and recognises that conducting business free of bribery and corruption is in its best interests. The Bank harbours great expectations of its directors, employees (“Bank’s personnel”) and external parties [such as clients, vendors, service providers, business partners, agents etc. (“associates”)] to work together to promote ethical business practices and foster a culture of accountability, fairness, honesty and integrity. The Bank imposes a high standard of care and due diligence on the Bank’s personnel and its associates to avoid situations of actual and/or potential conflict of interest. Policies such as those on procurement, recruitment, employees’ conduct and ethics, donations, sponsorships and gift taking and giving have detailed procedures in place to address, manage and eradicate all possible avenues of bribery and corruption.

In fulfilling this ambition of achieving a corruption-free business environment, the Bank has adopted the Group Whistleblowing Policy and Procedures (“GWBPP”) which encourages reporting by the Bank’s personnel and associates of any improper conduct (includes disciplinary or criminal offences, acts and omissions) of the Bank or the Bank’s personnel in breach of any laws, guidelines and policies for the time being in force.

The GWBPP protects both the confidential information and the whistle-blower from disclosure and detrimental action. Reporting of improper conduct requires details and information to assist investigations, which should include name(s) of the person(s) involved, date and location of the event, and provide supporting document/proof and can be reported through the Bank's dedicated reporting channels as follows:-

1. **Email** to the designated email address: whistle_blowing@affinbank.com.my

OR

2. Post to:

The Chairman
Whistleblowing Committee
Group Compliance
Level 4, Menara Affin
80, Jalan Raja Chulan
50200 Kuala Lumpur

or

Independent Non-Executive Director
(Chairman, Group Board Compliance Committee)
Group Compliance
Level 4, Menara Affin
80, Jalan Raja Chulan
50200 Kuala Lumpur

3. Drop the disclosure report, (which should be sealed and marked "**Strictly Private and Confidential - To be opened by the Addressee only**") into the secured designated drop-in boxes placed at the following locations:-
 - People Office at 11th Floor, Menara Affin
 - Outside the Staff Dining Area at 18th Floor, Menara Affin
 - 27th Floor, Menara Boustead

OR

4. Reporting to other relevant avenues external to the Bank ie the Regulators or Law Enforcement Agencies
<https://affinhwang.com/the-regulators-or-law-enforcement-agencies/>

The Bank requires its associates, their holding company, subsidiaries, employees and agents that are directly or indirectly connected with the Bank and the Bank's business interests to comply with and uphold all laws relevant to countering bribery and corruption and make timely reports through the channels provided, of any instances of corruption, improper conduct and conflict of interest.

LET'S WORK TOGETHER TOWARDS ZERO TOLERANCE FOR CORRUPTION!