

AFFIN HWANG INVESTMENT BANK BERHAD
(14389-U)

**GENERAL STATEMENT AND COMMITMENT TO ERADICATE
BRIBERY AND CORRUPTION**

Affin Hwang Investment Bank Berhad (“the Bank”) is licensed and regulated by the Central Bank of Malaysia (“BNM”), the Securities Commission of Malaysia (“SC”) and Bursa Malaysia Securities Berhad (“BSMB”). With the coming into force of Section 17A of the MACC Act, the Bank’s Anti-Bribery and Corruption Framework (“the Framework”) is the anti-bribery management system to implement policies and processes to prevent the occurrence of corrupt practices in all aspects of the Bank’s business interests. The Bank is further committed to implement the broader and more general expectation under the Prime Minister’s Directive 1/2018 to have a unit within the Bank’s corporate infrastructure for the oversight of overall good governance, anti-corruption and integrity.

The Bank adopts a zero tolerance policy against all forms of bribery and corruption. The Framework sets out its core policies and processes and embodied the T.R.U.S.T principles:

- T - Top Level Commitment
- R - Risk Assessment
- U - Undertake Control Measures
- S - Systematic Review, Monitoring and Enforcement
- T - Training and Communication

and how these principles will be achieved.

The Bank strictly forbids acts of bribery and corruption in any form and fashion, and recognises that conducting business free of bribery and corruption is in its best interests. The Bank harbours great expectations of its directors, employees (“Bank’s personnel”) and external parties [such as clients, vendors, service providers, business partners, agents etc. (“associates”)] to work together to promote ethical business practices and foster a culture of accountability, fairness, honesty and integrity. The Bank imposes a high standard of care and due diligence on the Bank’s personnel and its associates to avoid situations of actual and/or potential conflict of interest. Policies such as those on procurement, recruitment, employees’ conduct and ethics, donations, sponsorships and gift taking and giving have detailed procedures in place to address, manage and eradicate all possible avenues of bribery and corruption. Employees are not allowed to solicit and are discouraged from receiving gifts, hospitality and entertainment from business partners and third parties. Where employees cannot politely refuse the gift, reporting of such receipts is required with the possibility of the gift being returned to the giver.

In fulfilling this ambition of achieving a corruption-free business environment, the Bank's Whistleblowing Policy ("WBP") encourages reporting by the Bank's personnel and associates of any improper conduct (includes disciplinary or criminal offences, acts and omissions) of the Bank or the Bank's personnel in breach of any laws, guidelines and policies for the time being in force.

The WBP protects both the confidential information and the whistleblower from disclosure and detrimental action. Reporting of improper conduct requires details and information to assist investigations, which should include name(s) of the person(s) involved, date and location of the event, and provide supporting document/proof and can be reported through the Bank's dedicated reporting channels as follows:-

1. **Email** to the following email address:

Whistleblowing Committee
Email address: WBC@affinhwang.com

OR

2. In **writing** and **mailed or hand-delivered** in a sealed envelope with the words "**Strictly Confidential**", stating on the top left hand corner of the envelope: "**To be opened by the Addressee only**" to:

Whistleblowing Committee (WBC),
Affin Hwang Investment Bank Berhad
3rd Floor, Chulan Tower, 3 Jalan Conlay,
50450 Kuala Lumpur, Malaysia

OR

3. Reporting to other relevant avenues external to the Bank ie the Regulators or Law Enforcement Agencies
<https://affinhwang.com/the-regulators-or-law-enforcement-agencies/>

The Bank requires its associates, their holding company, subsidiaries, employees and agents that are directly or indirectly connected with the Bank and the Bank's business interests to comply with and uphold all laws relevant to countering bribery and corruption and make timely reports through the channels provided, of any instances of corruption, improper conduct and conflict of interest.

LET'S WORK TOGETHER TOWARDS ZERO TOLERANCE FOR CORRUPTION!