

INSTRUCTIONS TO COMPLETE THIS APPLICATION FORM

- Please read and understand the terms and conditions, guidelines and any supplementary terms and conditions as posted at <https://invest.affinhwang.com> carefully before completing the form.
- Complete all applicable fields on this application form and tick (✓) whichever is applicable.
- Note that usage of correction fluid is not permitted. Any amendments made must be counter-signed by the Applicant.
- Please take note of the Bank's Privacy Notice as posted at <https://www.affinhwang.com>.

APPLICATION TO UPDATE PARTICULARS FOR THE FOLLOWING TRADING ACCOUNT(S):
 Client Code _____ All trading accounts maintained with the Bank, including Margin Account (if any)

A. PLEASE COMPLETE THE FOLLOWING INFORMATION:

 Name _____ CDS No. **068-** _____
 New NRIC No. _____ Old IC/Authority Card/Passport/Registration No. _____

B. UPDATING OF PARTICULARS

Please complete pertaining to the required changes only.

For item with *, please attach supporting document(s)

Title	Name* (Applicant must present in person at the Bank's office with the new NRIC / Authority Card / Passport / Company or Business Registration)		
<input type="text"/>	<input type="text"/>		
	ID / Registration No.*	<input type="text"/>	
Contacts:	Email Address		
	<input type="text"/>		
	<i>This email address shall supersede all other email address(es) provided earlier and it shall be my/our sole email address to be used during my/our dealings with the Bank</i>		
	Telephone No.	Mobile <input type="text"/>	Home / Office <input type="text"/>
Resident Status <i>Note 1</i>	<input type="checkbox"/> Resident of Malaysia	Nationality*	<input type="checkbox"/> Malaysian
	<input type="checkbox"/> Non-Resident of Malaysia		<input type="checkbox"/> Others: <input type="text"/>
Address	<input type="checkbox"/> Residential	<input type="checkbox"/> Correspondence	<input type="checkbox"/> Registered Address *
<input type="text"/>			
<input type="text"/>			
<input type="text"/>			

FOR INDIVIDUAL APPLICANT ONLY

May select more than one

Occupation	<input type="checkbox"/> Employed	<input type="checkbox"/> Self-Employed	<input type="checkbox"/> Others _____	<i>Note2</i>	Marital Status	<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input type="checkbox"/> Others _____
Employer / Business Name	<input type="text"/>			Nature of Business	<input type="text"/>			
Designation	<input type="text"/>	Tel.	<input type="text"/>	Country of Employment	<input type="text"/>	Year(s) in Employment	<input type="text"/>	
Estimated Net Worth	RM	<input type="text"/>	Investment Experience	in Equity <input type="text"/> year(s)	in Derivatives <input type="text"/> year(s)			
Gross Annual Income	<input type="checkbox"/> Below RM24,000	<input type="checkbox"/> RM24,001- RM60,000	<input type="checkbox"/> RM60,001- RM100,000	<input type="checkbox"/> RM100,001- RM300,000	<input type="checkbox"/> RM300,001- RM500,000	<input type="checkbox"/> RM500,001- RM1 mil	<input type="checkbox"/> Above RM1 mil	
Source of Funds #	<input type="checkbox"/> Salary / Commission	<input type="checkbox"/> Investment Income	<input type="checkbox"/> Business Income	<input type="checkbox"/> Rental Income	<input type="checkbox"/> Interest Income	<input type="checkbox"/> Others _____		
Source of Wealth #	<input type="checkbox"/> Savings	<input type="checkbox"/> Pension Fund / EPF	<input type="checkbox"/> Sale of Real Estate	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Gift	<input type="checkbox"/> Others _____		

Note 1: "RESIDENT" as defined under the Financial Services Act 2013 means: (For Individual) A citizen of Malaysia, excluding a citizen who has obtained permanent resident status in a country or territory outside Malaysia **and** is residing outside Malaysia; or A non-citizen of Malaysia who has obtained permanent resident status in Malaysia **and** is ordinarily residing in Malaysia. (For Corporate) A body corporate incorporated or established, or registered with or approved by any authority, in Malaysia; or an unincorporated body registered with or approved by any authority in Malaysia.

Note 2: If you are a **retiree** or **not working**, please provide your last Employer/Business Name, Designation, Estimated Net Worth, Source of Funds, Source of Wealth in the fields above and year of last employment herein.

Year of Last Employment
(to be declared by Applicant who is a retiree / not working)

FOR CORPORATE APPLICANT ONLY

May select more than one

Nature of Business	<input type="text"/>	Authorised Share Capital	RM	<input type="text"/>	Paid Up Capital	RM	<input type="text"/>
Latest Annual Sales / Turnover*	RM	<input type="text"/>	Total Net Assets*	RM	<input type="text"/>	No. of Full-time Employees	<input type="text"/>
Source of Funds #	<input type="checkbox"/> Business Income	<input type="checkbox"/> Investment / Dividend Income	<input type="checkbox"/> Rental Income	<input type="checkbox"/> Interest Income	<input type="checkbox"/> Loan	<input type="checkbox"/> Others _____	
Source of Wealth #	<input type="checkbox"/> Business Retained Profit	<input type="checkbox"/> Sale of Real Estate	<input type="checkbox"/> Disposal of Investment	<input type="checkbox"/> Others _____			

UPDATE OF OTHER PARTICULARS (please specify and attach supporting document(s), where applicable)

C. UPDATING OF THE DECLARATION ON DOMESTIC RINGGIT BORROWING

"Domestic Ringgit Borrowing" and "Borrowing" are defined under the Notices on Foreign Exchange Administration Rules ("FEA Rules") issued by Bank Negara Malaysia ("BNM"). Refer to http://www.bnm.gov.my/files/notices/Definition_and_declaration.pdf for the details. The Ringgit conversion limit for resident individual is RM1 million per calendar year whereas for resident entity, RM50 million per calendar year. Visit http://www.bnm.gov.my/files/notices/Notice_3.pdf for more details.

- I/We have Domestic Ringgit Borrowing If you have Domestic Ringgit Borrowing, you shall be subject to the limit of converting Ringgit to foreign currency per calendar year, and further declaration as per item 5 of Section D of this form. The limit applies to all the Ringgit conversions undertaken by you including currency exchange converted outside the Bank. The Bank may suspend your trading account(s) from trading in securities and/or trade in derivatives quoted in foreign currency without further notice given to you should the accumulated conversion amount in the Bank's record exceed the annual limit.
- I/We do not have Domestic Ringgit Borrowing

D. DECLARATION & AGREEMENT BY APPLICANT

I/We solemnly declare that the information given in this form is true and correct and that I/we have not withheld any material facts. I/We further declare that I/we have read and fully understood the terms and conditions for securities and derivatives trading, declarations, guidelines, supplementary terms and conditions as posted at <https://invest.affinhwang.com> (which shall include any amendment, variation, modification, supplement, substitution and replacement made by the Bank from time to time), along with this form, collectively referred to as "Agreement", as prescribed below. I/We confirm that I/we shall be bound by the terms of the Agreement, where applicable.

Terms and Conditions Applicable to Applicant (PART I and PART II of the Agreement as posted at <https://invest.affinhwang.com>)

PART I: Terms and Conditions for Securities Trading **PART II:** Terms and Conditions for Derivatives Trading

Declaration by Applicant (PART IV(A) of the Agreement as posted at <https://invest.affinhwang.com>) (applicable to all applicants, unless otherwise stated)

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|--|---|
| 1 Acceptance of Terms and Conditions | 8 Declaration pursuant to the Share Margin Financing (applicable to Securities trading applicants) |
| 2 Appointment and Authorisation | 9 Declaration pursuant to Contra Guidelines (applicable to Securities trading applicants) |
| 3 Consent and Authorisation Pursuant to the Delivery of Contract Notes and Other Statements through Electronic | 10 Declaration pursuant to the Structured Warrants Risk Disclosure Statement (applicable to Securities trading applicants) |
| 4 Authorisation pursuant to Sections 113 and 118 of the Capital Markets and Services Act 2007 | 11 Declaration pursuant to the Intraday Short Selling Risk Disclosure Statement and Terms and Conditions of Securities Borrowing for IDSS (applicable to Securities trading applicants) |
| 5 Declaration pursuant to the Foreign Exchange Administration Rules issued by Bank Negara Malaysia, Foreign Account Tax Compliance Act and Common Reporting Standard | 12 Declaration pursuant to the Discretionary Financing (applicable to Securities trading applicants) |
| 6 Declaration pursuant to the Privacy Notice, PDPA & Consent for Disclosure of Applicant's Information | 13 Declaration pursuant to the Risk Associated with Trading in Foreign Securities (applicable to Securities trading applicants) |
| 7 Declaration pursuant to the Online Trading | 14 Declaration pursuant to the Futures and Options Contracts (applicable to Derivatives trading applicants) |

Signature of Applicant (Individual)

Date:

Authorised Signatory(ies) (Corporate)

Name:

NRIC / Passport No.:

Date

Signature of witness *

Date:

Please affix company's common seal/rubber stamp

* Witness must be the assigned DR/FBR/ Authorised Officer of the Bank / Notary Public / Advocate & Solicitor

E. DECLARATION & AGREEMENT BY DEALER'S REPRESENTATIVE / FUTURES BROKER'S REPRESENTATIVE

- I declare that I have explained to the Applicant and the Applicant has understood the terms and conditions herein, the contents of the Agreement (where applicable) and the risks and obligations associated with the trading in securities and/or derivatives contracts and the usage of (including the terms for) the Online Trading (where applicable). To the best of my knowledge and belief, all information given by the Applicant is true and correct and the Applicant has not withheld any material facts.
- I hereby accept and acknowledge that I am responsible for taking and executing the instructions of the Applicant and I am also responsible for ensuring that any execution related advice that may be provided are, where relevant, suitable for such Applicant taking into the account the financial background, investment experience and investment objectives of such Applicant. Any representation, trading advice, recommendation or information furnished by me shall be regarded as having been made in my own personal capacity.

Signature

DR/FBR Name and Code: _____

Date: _____

FOR OFFICE USE ONLY

Received By		Date		Processed By		Date	
Signature Verified By		Date		Approved By		Date	
Reviewed By		Date		Reviewed by (CLCS)		Date	